Informed Consent Versus Presumed Consent
The Role of the Family in Organ Donations

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Abstract

Two types of legislation underlie cadaveric organ donations: presumed consent (PC) and informed consent (IC). In informed consent countries, people are only donors when deceased if they registered to do so while alive. Conversely, in presumed consent countries, anybody is a potential donor when deceased. People have thus to register if they do not want to donate their body. PC has always been perceived as the “best” system for society in terms of organ donations whereas IC is supposed to be more ethical. However, in both systems, the family has a say, especially for the deceased who did not sign anything while alive. Taking the family decision into account, we show that the previous results may be reversed. The difference between both systems resides in the way an individual can commit to his will, eventually against the opinion of his family. IC can dominate PC in terms of organ donations whereas PC can be a more ethical system. In the general case, two opposite effects are at stake and the result depends on the extent to which people stay in the default situation. We discuss several causes of inactions (death taboo, procrastination, anticipated regret,...) and their impact on both the individual and the family.

Keywords: Informed Consent, Presumed Consent, Organ Donation, Procrastination.

JEL Classification: D19, I18.
1 Introduction

The increasing gap between supply and demand for organ donations has raised doubt about a quick solution to the shortage of organs. Cadaveric donations have been increasing slightly over the years and the importance of the legislation has been seen as the main reason why some countries have higher rates of donation (Abadie and Gay (2004); Gimbel and al. (2003)). There are two main types of legislation: presumed consent and informed consent. In informed consent countries, also known as opt-in countries, people are only donors when deceased if they registered to do so while alive. Conversely, in presumed consent countries, also called opt-out countries, anybody is potentially a donor. People have to register if they do not want to donate their body.

In the last few years the debate on organ donations has focused on legislation.\(^1\) Spital (1996) and Mustarah (1998) have pointed out that switching to a presumed consent law would increase the rate of organ donations, due to the higher observed rate of donation in presumed consent countries (see figure 1\(^2\)). The idea behind this result is that the default option plays a key role in organ donations. The presumed consent system benefits from the organs of donors that did not declare any preference for donation while

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1. Another debate concerns the use of monetary incentives to increase the supply of organs (Cohen, 1989; Becker, 1997; Becker and Elias, 2003).

2. The informed consent curve was derived from the donation rates of the following seven countries: Australia, Denmark, England, Germany, The Netherlands, USA and Switzerland. The presumed consent curve was derived from the donation rates of the following seven countries: Austria, Belgium, France, Italy, Poland, Portugal and Spain.
living. Johnson and Goldstein (2003) suggest that a switch to a presumed consent legislation in the United States would increase donations by some additional thousands of donors per year.\(^3\) However, the fact that someone does not register not to donate his body does not mean that he agrees to be a donor. The society may thus take the organs of someone “against” his will in the presumed consent system. Because of this ethical and moral problem, the informed consent legislation may be seen as better (Kennedy and Grubb (2000), National Health and Medical Research Council (1997)).

These two points of view have been clearly expressed by the British Parliament when studying in January 2004 “The Organ Donation (Presumed Consent and Safeguards) Bill” proposed by Parliamentarian Siobhain McDonagh. This bill was intended to switch from the actual IC system to the PC system. On one hand, Dr. Michael Wilks, Chairman of the British Medical Association’s Ethics Committee argues in favor of the presumed consent system to increase the number of organ donations: “We must increase the number of donors available and we believe that a system of presumed consent with safeguards, will do this.” On the other hand, Health Minister Rosie Winterton ruled out the Bill because of ethical problems of the presumed consent system and said that “full consent must be obtained to use human organs and tissue.”

Actually because full consent of the deceased is sometimes difficult to achieve, his family has a say in the decision of organ donations in both systems. Doctors systematically ask the family before removing organs from

\(^3\)The default legislation is known in economics to play an important role (see Thaler (2004) for an application to savings).
the deceased (May et al. (2000)). In case of refusal, the organs will not be taken. Under the British Human Tissue Bill, voted in January 2004 after the debate on the presumed consent system, doctors would no longer be legally able to keep organs from dead patients without the full consent of their families.

When debating the impact of the legislation, previous studies (Johnson and Goldstein (2003), Mustarah (1998), Spital (1996)) never take into account the role of the family. The aim of this paper is on the contrary to understand the impact of the family decision on cadaveric organ donations. Taking the opinion of the family into account, we find that, surprisingly, the informed consent system may be preferable to the presumed consent one in terms of organ donations. On the contrary, the informed consent system may be less preferable when looking at the respect of the deceased’s will. The idea behind this result is that both systems are equivalent when the deceased does not take any decision while alive. In both systems, the final decision is the family’s. The difference resides in the way an individual can commit his will, eventually against the opinion of his family. In informed consent countries, he can register to donate his organs. He can thus take a binding commitment with respect to donation. On the contrary, in presumed consent countries, he can only register not to donate his organs. He can only take a binding commitment in favor of non donation. This commitment effect pleads in favor of the informed consent system as far as the number of organ donations is concerned. This commitment effect also explains why presumed consent countries create donor cards when the family is involved in the decision process. It allows individuals to commit to give their organs, which is positive
for both ethics and organ donations.

However the default legislation may play a key role, as the family decision is also intrinsically influenced by the default. In that case, two opposite effects play against each other. The dominant effect hinges on the reason why people do not to take any stance on organ donations. Several causes of inactions can explain why the individual stays in the default situation. On one hand, doctors stress the importance of death taboo: people do not want to think about their death and what will or would happen to their body when deceased. On the other hand, the economic literature has shown the importance of procrastination, anticipated regret and loss aversion that can partially explain why an individual does not take any decision regarding organ donations. The family, contrary to the individual, has to decide once and for all in a short amount of time and cannot postpone such a decision. Ignorance, procrastination and death taboo thus appear to be less relevant for the family. However, the family has a difficult decision to take and faces anticipated regret. It is thus possible that the family is influenced by the default situation and procrastinates in the sense that they do not want to take such an unpleasant decision.

The paper is organized as follows: section 2 develops a model for presumed consent (PC) and informed consent (IC) without the family and section 3 develops a model that takes into account the influence of the family. The issues of death taboo and procrastination are addressed in section 4. Section 5 concludes.
2 IC and PC without the family

Let first consider a model of organ donations without taking into account the decision of the family. We will suppose that an individual has a utility $u_d$ if he donates his organs and a utility $u_k$ if he decides to keep his organs. We note $v = u_d - u_k$ the difference between these utilities. If $v > 0$, he wants to donate his organs, whereas if $v < 0$ he wants to keep them.

Figure 2 describes the two models. In the IC case, he keeps by default his organs but can take the decision to donate them. Let $c$ be the cost of donating his organs. Similarly, in the PC case, he donates by default his organs, but can take the decision to keep them by paying a cost $c$.

In most countries, obtaining registration to donate or not organs is as simple as sending a letter, an email or make a free phone call. As a consequence, the cost $c$ in the model is not a standard cost. It reflects the tendency to procrastination that people may have (Akerlof (1991), Madrian and Shea (2001), Thaler and Benartzi (2004)). It may also represent the cost doctors usually refer to. It is the moral cost individuals have to face when thinking about their death and what will happen to their body (National Health and Medical Research Council (1997)).

**Proposition 1.** There are more organ donations in PC systems than in IC systems.

**Proof:** In the PC case, the individual will take the decision to keep his organs if and only if $v < -c$. In the IC system, the individual will take the decision to give his organs iff $c < v$. Consequently, if $c < v$, he donates his organs under both rules. If $-c < v < c$, he donates his organs under the PC
rule but keeps them in the IC case. If $v < -c$, he keeps his organs under both rules. The PC system thus dominates the IC one.

Proposition 1 shows that in order to maximize the number of organs, society wants to adopt the PC system. The difference between the two systems comes from the fraction of people who would stay in the default system whatever the rule is. In a PC system, these individuals give their organs whereas they will keep them under the IC system.

It is also interesting to note that these people are the ones who do not have a clear preference about the issue of organ donations. If giving his organs is important for an individual, it is more likely that $v$ would be greater than the cost and this individual will register in an IC system to give his organs.

The number of organs is not however the only criterium to evaluate both systems. For ethical and moral reasons, society may also want to choose a system that respects the “will” of individuals. Most religions think that organ donation is morally acceptable only if the donor has given his explicit consent.

**Proposition 2.** None of the two systems respects the will of the people who do not register.

**Proof:** In the PC case, if $-c < v < 0$, the individual gives his organs whereas he would like not to. In the IC case, if $0 < v < c$, the individual does not give his organs whereas he would like to.

Proposition 2 shows that it is difficult to choose a system that respects the will of people when not expressed! Under PC rules, society may take the
organs of some people against their “will”. Under IC rules, society may not take the organs of some individuals that would like to give them.

The results of the two propositions above are the ones on which the debate between PC and IC hinges. A recent example of such a debate occurred in January 2004 when the British Parliament studied “The Organ Donation (Presumed Consent and Safeguards) Bill”. The advocates of the PC system argue that it increases the number of organ donations, which is beneficial to society because of the shortage of organs. The proponents of the IC system use ethical reasons for arguing that it is unacceptable to take the organs of a person if he does not explicitly agree.\footnote{They however “forget” to mention that the IC system does not either respect the “will” of some people that would like to donate their organs.}

3 IC and PC with the family

Under the PC system (respectively the IC system) we cannot distinguish an individual that has not thought about organ donations when alive or has procrastinated ($-c < v < c$) and whose will is unknown, from an individual that really want to (resp. not to) donate his organs ($c < v$ (resp. $v < -c$)). For that matter, in almost all countries, doctors ask for the family decision before taking someone’s organs. Doctors always follow the will of the family, even if in PC countries, they could legally take the organs (May et al. (2000)). It is thus necessary, when comparing both systems, to include the decision of the family.

We will suppose that the family has a utility $u^f_d$ if they donate the organs
of the deceased and a utility $u_k^f$ if not. We will denote by $v^f = u_d^f - u_k^f$ the difference and we suppose that $v$ and $v^f$ are common knowledge. We finally suppose in this part that there is no cost to the family to take its decision.

Figure 3 represents the game with the family. In both models, if the individual decides to register, the family has no choice but to respect his decision. If, on the contrary, the individual stays in the “default” situation, the family takes the final decision.

This model is conformed for example to the decision process in the Netherlands (IC) and in Belgium (PC). In most countries however, in both systems, the family can decide not to respect the will of the deceased, even if he registered when alive. This event is however rare. One can extend the model to let the family decide even when the deceased had registered. If the family has a huge cost to change the decision of the deceased when expressed, the conclusions will be very similar to the simpler model presented here.

**Proposition 3.** If the family has the same preferences as the individual, organ donation is the same under both system.

If the family can have different preferences, there are more organ donations in the IC system than in the PC system.

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5To explore the robustness of our results, it would be interesting to extend this simple model in several directions. First, to explore the impact of allowing the family payoff to depend on the deceased’s utilities, in addition to their own utilities. Second, to allow for uncertainty on the part of the individual about the preferences of the family. Finally, to avoid the assumption that agents know their utility function and perform backward induction by introducing two types of agents: those who think about their death and those who do not. However, as no new insights are derived from these more elaborated models, we prefer to present our results under the simplest framework.
Proof: If $v^f = v$, the equilibrium in both systems is the same. The individual stays in the “default” situation and the family takes the good decision in the end.

If preferences can be different, the analysis changes. In a PC system, the individual registers if and only if $v < -c$ and $v^f > 0$ i.e. iff he does not really want to give his organs but the family wants to. In a IC system, the individual registers if and only if $v > c$ and $v^f < 0$ i.e. iff he really wants to give his organs but the family does not want to. As a consequence both systems are equivalent in all the situations except if $(v < -c, v^f > 0)$ and $(v > c, v^f < 0)$ where the individual will donate his organs in the IC system but not in the PC system. □

The first result is quite intuitive. If the family and the individual always agree, individuals do not register and let the family take the good decision when deceased.

This is however not what we observe as people do register in both systems\(^6\). Many reasons can explain that the value of the family differs from the value of the individual. The family can have their own preferences and choose their decision by taking into account both their preferences and the preferences of the individual. Doctors also complain about people not speaking enough about this issue when alive and that the family has no idea of the stance of the individual on organ donations (Rocheleau (2001)). In that case, one can suppose that the family takes their decision using their own valuation. The difference between the individual will and the family’s opinion is a

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\(^6\)In 2003 for example, about 37% of the Dutch population have made a registration to donate their organs (The Netherlands is an IC country.).
real concern. Recently, the British Parliament passed a Bill on that subject and UK Transplant chief executive Sue Sutherland said: “The Human Tissue Bill reinforces the importance of consent for donation but makes it explicit that it is the wishes of the individual that should prevail. Many people have found it difficult to accept that relatives can overturn those wishes and this Bill deals with those concerns”.

When taking into account the family decision, we surprisingly find the opposite of the usual claim. The IC system leads to more organ donations than the PC system, because the argument of the model without the family does not apply anymore. When an individual does not want to think about his death or procrastinates ($-c < v < c$), he lets his family decide for him. Both systems are thus equivalent for these agents. However, the fact that the family can take the decision for the individual, even when he has clear preferences concerning organ donations ($v < -c$ or $v > c$), has a perverse effect when the family and the individual disagree. Registering is a protection against the family and has a value of commitment. In the IC system, the individual can register and commit to give his organs. In the PC system, on the contrary, the individual can only register to commit to keep his organs. This commitment effect explains why the IC system potentially leads to more organ donations.

Interestingly, most of the countries seem aware of this commitment problem and offer the possibility to register as a donor in the PC system. Kluge (1997), for example, asserts that the shortage of organ donations would decrease if the donor card is used as a proof of donation to bypass some families
reluctant to donating. This donor card only exists to try to protect the individual against his family.\footnote{Austria has a very strict PC system where the decision of the family is never taken into account. In this country, no donor card exits.}

**Corollary 1.** *Introducing a donor card in the PC system helps an individual to give his organs when the family does not agree.*

*There are still more donations in the IC system than in the PC system with donor cards.*

One can argue that both systems are quite equivalent because an individual can commit himself by writing a note saying that he does or does not want to give his organs. Nonetheless this note will presumably be in the possession of the family and this supposes that the family would respect the will of the deceased. It is more difficult to credibly commit with a note than by going through an official process. To restore the equivalence between the two systems, one would like to allow an individual to have a non-donor card in the IC system. Generally this is not the case.

The commitment problem faced by the agents when the family has a say leads to another surprising result when looking at ethical and moral reasons.

**Proposition 4.** *If the family has the same preferences as the individual, both systems respect the will of all agents.*

*If the family can have different preferences, none of the two systems respects entirely the will of the people. The PC system with donor cards is however more conform to the will of the individuals than the IC system.*
Proof: If the family and the individuals have the same preferences, it is clear that both systems respect the will of the deceased.

Suppose now that the family can have different preferences. When \(-c < v < c\), the individual does not register and lets the family decide for him. If the family does not have the same preferences, his will may not be respected in both systems.

If \(v < -c\) and \(v^f > 0\), the individual does not want to give his organs but the family does. In the PC system, he can register to keep his organs, whereas in the IC system, he can not commit not to give his organs. In that sense, the PC system is better than the IC system.

Finally, if \(v > c\) and \(v^f < 0\), the individual wants to give his organs but the family does not. In the IC system, he can register to give his organs, whereas in the PC system, he can not commit to give his organs. This is not true with a donor card.

In conclusion, both the PC and the IC system do not respect the will of individuals, but the PC system with a donor card is better than the IC system.\(\square\)

This result is surprising for several reasons.

First, introducing the family seems worse in terms of ethical and moral reasons than not asking for their decision as soon as some disagreements exist between the family and the deceased. The will of individuals, who have clear preferences towards organ donations, is not respected anymore because of the commitment problem they face when disagreeing with their families.

Second, the proponents of the IC system are usually deeply concerned with taking the organs of someone against his will. Our result proves that,
actually, this event will happen more frequently in an IC system than in a PC system and will strictly affect people who would have taken a binding decision not to donate their organ donations if they had this opportunity. Here, however, the family, and not society, is responsible for not implementing the choice of the deceased. People usually consider that this is not as ethically and morally unacceptable as when society decides.

Third, introducing a donor card is very important on ethical and moral grounds as it allows to respect the will of the deceased. May et al. (2000) argue that, even if the family does not consent to donation, respecting the documented wishes of a deceased to donate is not only morally permissible, but morally required. Chouchau and Draper (2003) also argue about the superiority of the PC system with a donor card when it comes to the respect of the will of people.

4 Procrastination and death taboo

The previous models (with and without family) are two extreme cases of a more general model where the family is also affected by the default situation. If the family bears a cost $c_f$ of not staying in the default situation, the model without family (section 2) corresponds to $c_f = +\infty$ whereas the model with family (section 3) corresponds to $c_f = 0$. In the general case, the effects derived in the extreme cases are both at stake. Two opposite forces are actually conflicting and each one could potentially be dominant. Figure 4 represents the equilibrium in the general case. Both systems are equivalent except in three regions. In the middle region, taking a decision is too costly.
for both the individual and the family. The choice directly comes from the
default situation. In such a case, the PC system dominates the IC system. In
the two other regions, the individual and the family want to make a decision,
but disagree. The commitment effect is central here and the IC system
dominates the PC system. The total effect is ambiguous and depends on the
values $\nu$ and $\nu'$ and on the costs $c$ and $c'$. The choice of an “optimal” system
in a given country should thus depend on the behavior of people regarding
their death or the death of a relative.

Let first consider the situation of an individual. The blind acceptance
of the default by agents, also called status quo, has been pointed out to be
central even when strong reasons for change exist. Loss aversion, anticipated
regret and ignorance (Kahneman and Tversky (1979); Kahneman, Knetch
and Thaler (1991); Laibson (1998); Samuelson and Zeckhauser (1988)) are
among the explanations that causes inaction. Related to this point is the is-
sue of procrastination. Strictly speaking, procrastination is to keep delaying
something that must be done, often because it is unpleasant. Procrastina-
tion has been studied in economics in order to better understand inadequate
savings or organizational failures (Akerlof 1991). In our model, the lack of
registrations can be interpreted as procrastination, hence creating an impor-
tant cost to the individual to register. Recent papers have emphasized the
importance of procrastination in a default system: Choi et al. (2003) and
Thaler and Benartzi (2004) show that default options have a remarkable im-
 pact on household ‘choices’ in term of savings, for opting out of a default is
costly and people’s tendency to procrastinate. Madrian and Shea (2001) also
study the effect of the default system on savings. They found that 86% of the
employees hired after the implementation of an automatic participation rule stay in the default savings plan designed by the company. On the opposite, only half of the employees hired before the automatic enrollment take part in the plan. In the organ donation process, procrastination can be modelled by an important cost for the individual who wants to delay his decision.

The other explanation expressed by doctors to understand why people do not register is death taboo (National Health and Medical Research Council (1997)). Unfortunately, it is difficult to have an objective measure of death taboo. A good proxy can be the willingness of people to let the family know or not about their post mortem decisions. In Quebec\textsuperscript{8} for instance, only 50\% of the population writes a testament. In 4 cases out of 5 this testament is registered by a notary. Moreover these testaments usually deal with the succession but very few people let specific instructions regarding their funeral. These figures underline the importance of death taboo. People do not like to think about their death and to what will happen to their body once deceased. This argument also emphasizes that the cost for the individual may be important.\textsuperscript{9}

The family, contrary to the individual, has no choice but to think about the situation and take a decision quickly after the death of their relative. They have to decide once and for all in a short amount of time and cannot postpone this decision. Ignorance, procrastination and death taboo thus

\textsuperscript{8}These figures were given to us by the Chambre des Notaires du Quebec.

\textsuperscript{9}This cost may vary by individual. The richer, the older and the more educated an individual is, the more likely he is to write a testament and therefore to think ahead about their death.
appear to be less relevant for the family which is expected to have a smaller cost $c^f$ than the cost $c$ to the individual. Nevertheless, this cost may not be zero. The family has a difficult decision to take and faces anticipated regret. It is thus possible that the family procrastinates in the sense that they do not want to take such an unpleasant decision. Moreover, in PC countries, by refusing to donate the organs, the family “kills” someone who should have lived under the strict application of the law. Such a decision, under the pressure of medical doctors, may be hard to take. In IC countries, on the contrary, members of the family have the law on their side when deciding not to give organs. If such effects are at stake, the cost for the family may not be negligible.

Given the expected structure of the costs (high cost for the individual and smaller cost for the family), one crucial element is the distribution of the preferences $(v, v^f)$. If the individual and the family have well correlated preferences, the PC system may be a better one. As already mentioned, this is not necessarily the case. Because of the death taboo, the family often has no idea of the will of the deceased. This absence of communication on organ donations can lead to more disagreements between the choice of both the individual and the family. For these reasons, when death taboo is an important issue, it gives some arguments for a country towards choosing the IC system. Furthermore, the family is known to be much more reluctant than the deceased to tackling the issue of organ donations.$^{10}$ It is thus

$^{10}$Verzijden and Schothorst (2003) for example state that 86% of the Dutch population would donate their organs. However, for the 63% of them that did not register, the family refuses in 80% of the cases to give the deceased’s organs.
possible that in a PC country, an important number of people would like to
give their organs but cannot commit themselves against their family,\footnote{In theory, we have seen that this problem could be solved by a donor card. Countries however do not have a registry for people who ask for a donor card. Having a donor card is less binding for the family than registering to give his organs.} which
leads to the conclusion than the IC system could be better in terms of organ
donations.

Our results show that the comparison between both systems is more com-
plicated than usually thought and give an argument in favor of the IC system
in terms of organ donations. It would be interesting to have data by country
to estimate precisely what the different effects are in each country.\footnote{Empirical studies that estimate the effect of the legislation on organ donations (Abadie and Gay (2004), Gimbel and al. (2003)) use cross countries data. They usually find that PC countries have higher rates of donation than IC countries.} This
will require to have data on the decision of both the individual and the family
that countries have just begun to collect.

\section{Conclusion}

The introduction of the family in the process of decision for organ donation
can reverse the intuitive comparison between presumed consent and informed
consent legislations. Registering to be a donor in informed consent countries
is a credible commitment against his family in favor of donation, whereas
registering in a presumed consent country is a commitment not to donate.
This commitment effect can lead to the superiority of the IC system in terms
of organ donations. Another consequence of that effect is the introduction of
donor cards in PC countries to prevent families from refusing donation if the deceased was willing to donate his body. When looking at ethical and moral reasons, our results show the superiority of a PC system with a donor card to respect people’s will.

The default situation may still play an important role and, in general, two opposite effects are in the balance. The result depends on the extent to which people stay in the default situation. We discussed several causes of inactions (death taboo, procrastination, anticipated regret...) and their impact on both the individual and the family.

Ronald Davis, Editor of the British Medical Journal, writes in his editorial in 1999, “Both the American and the British medical associations are looking at ways of increasing organ donation, including presumed consent. While the ethics of presumed consent continue to be debated, policymakers can and should move forward with mandated choice, which has the potential to narrow, if not eliminate, the gap between organ supply and demand.” (Davis (1999)). Our results show that the arguments on which the debate between PC and IC systems are based may not be as accurate as expected when the family decision is taken into account.

References


Figure 1: Average Organ Donations By Type of Legislations Over the Years 1993-2002
Figure 2: Informed Consent and Presumed Consent without the family’s decision
Figure 3: Informed Consent and Presumed Consent with the family’s decision
Figure 4: Comparison of both systems in the general case with family